



BRCGS FOOD SAFETY

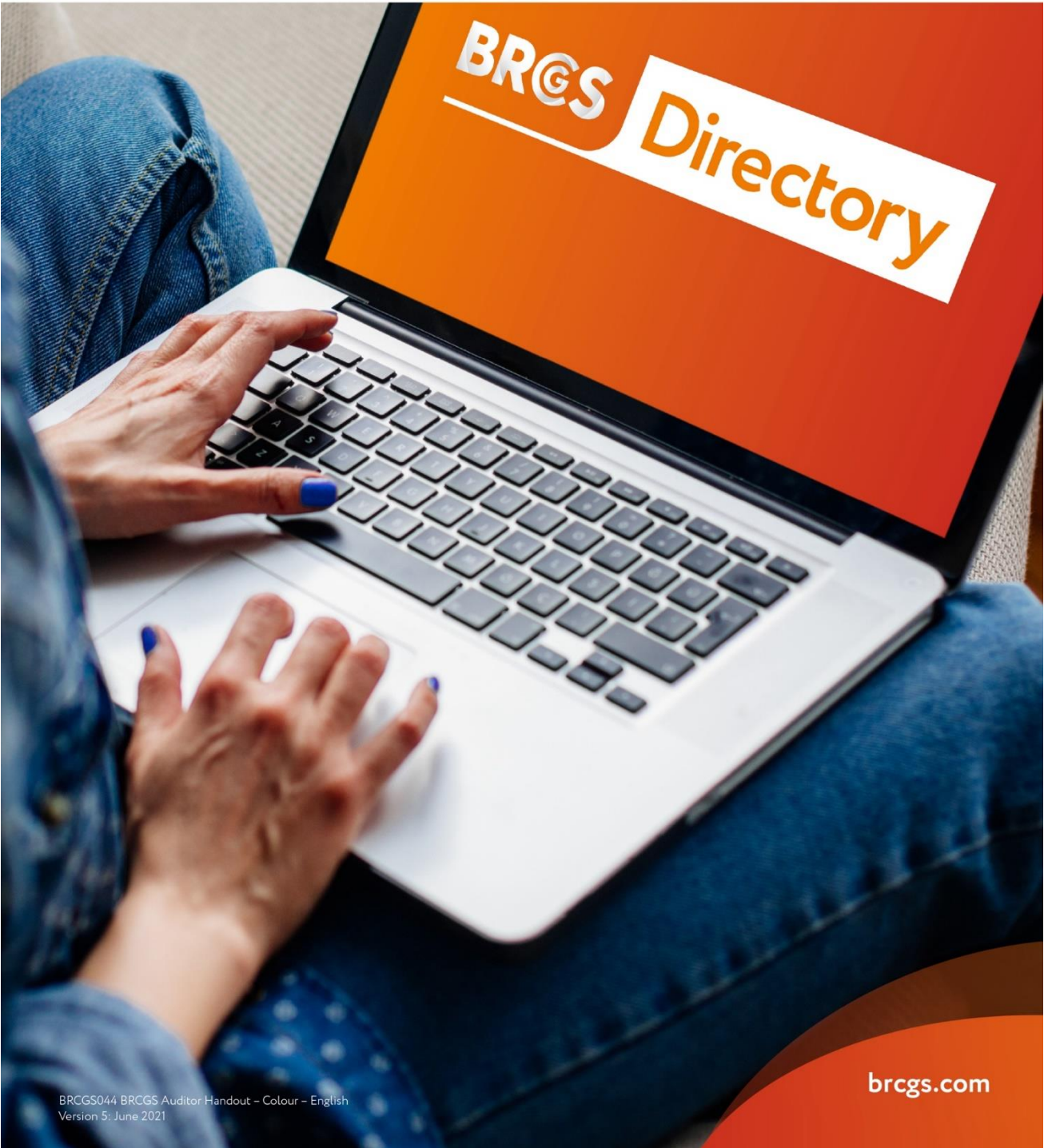
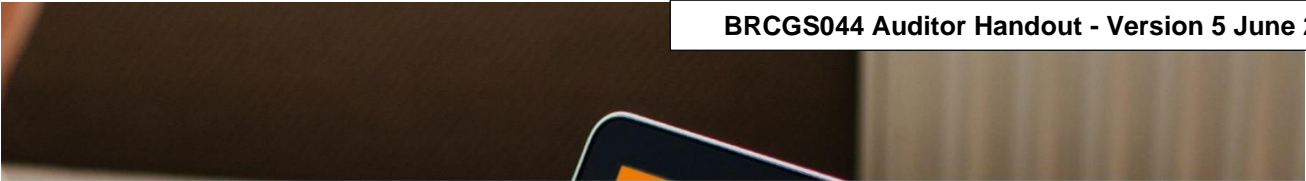
Thank you for having your BRCGS audit with SGS
This Corrective Action Request contains important information on how to close any non-conformity that may have been identified
It also contains the BRCGS Guidance on how to use the BRCGS Directory.

COMPANY NAME	AUDIT TYPE	BRCGS SITE CODE	SGS CONTRACT NUMBER
Empacadora Del Golfo De México, S.A. De C.V	Announced	1089173	MX/MEX/20110437
DETAILS OF ADDITIONAL MODULES AUDITED, AND OTHER AUDITS CONDUCTED AT THE SAME VISIT			

CLOSE OUT DUE DATE: 21 CALENDAR DAYS FROM AUDIT <i>(or refer to individual scheme requirements for initial audits)</i>	2024-03-06	PLANNED CLOSURE DATE ON SITE or REMOTELY <i>(Where required)</i>	2024-04-01NA
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Add a * for any non-conformity raised on a remote audit

To be completed by the Lead Auditor				TO BE COMPLETED BY THE SITE			
Grade	Number	Requirement Ref	Details of the non-conformity identified	Corrective action taken What was done to immediately close the non-conformity?	Proposed preventative action plan to prevent recurrence What additional actions will be taken during the next 12 months to ensure the non-conformity does not occur again?	Root Cause Analysis (short description of the analysis by the company of how they have identified the root cause of the problem)	Description of the evidence provided (Provide details to include document name, reference, version numbering as applicable. Photos must be supplied individually and not be embedded in any documents.)
minor	2	2.6.1	HACCP diagram observed not updated, application of chemical on chipotle raw material was not included. Although controls were reviewed without deviations.	The identification of the K-Biol product for the treatment of chipotle is included in the Selection and Blowing flowchart in the storage stage.	The HACCP team will take an advanced HACCP course to reinforce technical knowledge	When the flow chart was updated, the identification of the chemical application was not included; this was not done previously, but when the stock of dry chipotle increased, it was implemented as a preventive measure.	1 AC-APPCC-1: HACCP diagram 2 HACCP Training Quote 3 Proof of payment
minor	4	4.6.1	During site inspection it was observed tables in process area do not meet specification established in data sheet (smooth and without a break).	It begins with a correction plan to replace all the stainless steel sheets on the tables that are broken	As a preventive measure, the inspection of the physical condition of the tables is implemented through a GMP checklist, on a monthly basis.	In the risk analysis, it was detected that the deterioration of the tables is caused by wear during production.	4 OC 240411: Stainless Steel Sheet Purchase Order 5 ACFS-1F Check list



BRCGS044 BRCGS Auditor Handout – Colour – English
Version 5: June 2021

[brcgs.com](https://www.brcgs.com)



Congratulations on completing your BRCGS Audit. BRCGS has been at the leading edge of Standards development for 25 years and today BRCGS certification is specified and trusted by more global brand owners than any other scheme. BRCGS's rigorous assurance programmes touch every aspect of global supply chain from food ingredients to packaging, distribution, retail and beyond.

What happens next?

Soon after your certification decision has been made, as your certification body, we will add your full audit report and your certificate to the BRCGS Directory. You will be notified by email as soon as your audit report is available and you can then log in to the Directory (<https://directory.brcgs.com/>) to view, share or download the full audit document.

Site code

Each of your audited sites will be allocated a unique seven-digit reference number, known as a site code, which can be found on your audit report and certificate. Each site code is site-specific and remains the same for each audit conducted there, regardless of auditing certification body or audit status. This site code helps users to find your listing in the Directory.

Audit sharing

The BRCGS Directory allows you to share your audit documents with customers. This includes retailers, manufacturers, suppliers, brand owners and other specifiers.

As the audit owner, you can create or cancel sharing at any time. All sharing changes take immediate effect. When audit sharing is set up, customers will be able to access your full current, archived and future audit documents (as they become available) without any further administration.

Your suppliers can also share their audit with you on the Directory. You will be able to access their full audit report and certificate data. You will be instantly notified if their certification expires or is withdrawn. To ensure you're receiving authentic and up-to-date certification data, BRCGS recommends you ask your external BRCGS-audited suppliers to share their audits with you via the Directory.

Audit documents shared with you on the Directory cannot be edited by the audit owner. As such, audits obtained via the Directory can be considered as complete and authenticated.

Training literature describing how to access shared audits, and share your own, is available in the Information area of the Directory.

The BRCGS All Inclusive Service Package

The BRCGS Directory is only one part of the service package. As a BRCGS certificated site you also have exclusive free access to wealth of tools designed to help your business improve and achieve greater success. This package ensures that you get the best value from BRCGS at any time of the year, in addition to the management system certification process.

As brands and major specifiers seek to build greater resilience into their supply chains, these support tools help you demonstrate to your customers that you are a best-in-class supplier.

BRCGS will contact you directly following your certificate upload to register for the full service package.



BRCGS Participate

BRCGS Participate is an online platform which provides you with free access to a wealth of technical information and guidance including all BRCGS publications, webinars, case studies, white papers and reports.

It offers you the most convenient and flexible way to access all the content produced by BRCGS and is a valuable resource to ensure you maintain compliance with the standard between audits and get the full value from your certification to further improve your business.

B2B Licence to use the BRCGS Brand

Certificated sites are provided with a B2B licence to use the BRCGS brand to demonstrate their credentials and to support marketing efforts. This is your 'license to trade' as BRCGS is widely recognized and accepted by 70% of the top 10 global brands.

BRCGS Technical Support

You can access technical support all year round, not just at annual audit. The BRCGS Technical Helpline provides quick and easy access to BRCGS experts.

BRCGS Professional

All individuals working within a BRCGS certificated site can enrol in BRCGS Professional, the BRCGS professional development programme. It provides a holistic learning and development programme covering the core skills and behaviours required to implement and maintain BRCGS Standards, producing qualified, engaged, and empowered leaders that can drive your organisation forward. It is one of the largest Product Safety Management communities with a growing global network of over 10,000 industry professionals.

BRCGS Insight Benchmarking

Through BRCGS Participate, you can access free performance benchmarking information, known as Insight Reports, which can be used to compare your performance against similar businesses. This can help to improve your business, achieve your KPIs, as well as be used to promote your services to new and existing customers.

Ethical Performance Risk Assessment

The rise of consumer consciousness and the transparency enabled by digital technology has transformed the landscape for brand and consumer requirements. You have free access to the Ethical Trade and Responsible Sourcing Guidance and Risk Assessment module to undertake a “health check”. This will identify where there may be operational ethical trade and responsible sourcing risks, providing opportunities for improvement and additional customer reassurance.

Food Safety Culture Excellence Basic

Culture requirements are included in all BRCGS Global Standards, as well as Codex requirements and a growing number of Regulators. You can use this tool to start measuring and improving your cultural performance, as well as demonstrate regulatory compliance.

Further information about the Service Package can be found at brcgs.com/resources/service-package/



BRCGS Compliance

Not all GFSI schemes are the same. BRCGS makes a significant investment in our compliance programme to ensure the integrity and consistency of BRCGS certification schemes throughout the world. It is a critical cornerstone of the programme that delivers results that brands can trust and consumer protection is maintained.

Certification body star rating

BRCGS assesses the compliance of your certification body to BRCGS requirements which is fed back into a five-star performance rating published every six months. This rating reflects the overall management of the BRCGS certification scheme by the certification body head office, rather than an individual auditor or audit.

Auditing the auditors – ensuring consistent challenging audits

How BRCGS audits your certification body:

- Audits of head office process controls;
- Accompanying an auditor to a site during a BRCGS audit to see how they work (a ‘witness audit’); and
- Sampling of certificated sites to review the details the auditor has recorded within the audit report. These visits may be announced or unannounced.

Feedback

BRCGS welcomes feedback which helps to continually improve the certification scheme. There are several feedback options:

- A survey will be sent following your audit to get your feedback on our performance.
- For complaints or appeals, in the first instance you should discuss with us as your certification body, however BRCGS have a formal, confidential online complaints system, **Tell BRCGS**
- Issues at certificated sites may also be reported via **Tell BRCGS**.

Tell BRCGS can be accessed at brcgs.com/contact-us/

BRCGS Academy

The BRCGS Academy is the central provider of training on the BRCGS Standards. BRCGS and their global network of Approved Training Providers (ATPs) offer a range of training courses to ensure sites and manufacturers have the very best information and training to apply the BRCGS Standards throughout their business.

BRCGS also runs a programme of events and conferences throughout the year to support your learning and development.

Visit brcgs.com/training and brcgs.com/events to find out more.

